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To:

NAME:	FACSIMILE:	TELEPHONE:
U.S. Patent and Trademark Office M/S RCE	(703) 872-9306	

FROM: Katherine D. Lee (Reg. No. 44,865)

DATE: July 11, 2005

Number of pages with cover page:	25
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Contents of this Transmission:

Inventor: Peter M. DICKSTEIN et al.		Atty Docket No. 468182000100:
Application No.: 09/752,650	Filing Date: December 29, 2000	
Group Art Unit: 3624	Examiner: C. R. Kyle	
Title: SYSTEM AND METHOD TO ORGANIZE AND MANAGE CORPORATE CAPITALIZATION AND SECURITIES		
Documents:		
RCB Transmittal (1 pages)		
Fee Transmittal (2 pages)		
Petition for Extension of Time - 1 mo. (1 page)		
Verified Statement Claiming Small Entity Status (1 page)		
Amendment (19 pages)		
Facsimile Return Receipt Cover		
Sender's Initials: KDL3/jxh3	Date:	July 11, 2005

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PTO/SB/30 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Request For Continued Examination (RCE) Transmittal Address to: MS RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	Application Number	09/752,650
	Filing Date	December 28, 2000
	First Named Inventor	Peter M. DICKSTEIN
	Art Unit	3624
	Examiner Name	C. R. Kyle
	Attorney Docket Number	468182000100

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.
 Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____

ii. ☐ Other _____

b. ☒ Enclosed

i. ☒ Amendment/Reply (19 pages)

iii. ☐ Information Disclosure Statement (IDS)

ii. ☐ Affidavit(s)/Declaration(s)

iv. ☒ Other Facsimile Return Receipt Cover

2. **Miscellaneous**

a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)

b. ☒ Other Verified Statement Claiming Small Entity Status (1 page)

3. **Fees** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 03-1952. I have enclosed a duplicate copy of this sheet. Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.

i. ☒ RCE fee required under 37 CFR 1.17(e)

ii. ☒ Extension of time fee (37 CFR 1.136 and 1.17) (1 page)

iii. ☒ Other Fee transmittal w/duplicate copy for fee processing (2 pages)

b. ☐ Check in the amount of \$ _____ enclosed

c. ☐ Payment by credit card (Form PTO-2038 enclosed)

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED			
Signature	<i>Katherine D. Lee</i>	Date	July 11, 2005
Name (Print/Type)	Katherine D. Lee (Customer No. 20872)	Registration No.	44,865

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, facsimile no. (703) 872-9306, on the date shown below.

Dated: July 11, 2005 Signature: *Janet Hollrah* (Janet Hollrah)

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JUL 11 2005

PTO/SB/17 (12-04v2)

Approved for use through 7/31/2008. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4918).		Complete if Known					
FEE TRANSMITTAL For FY 2005		Application Number	09/752,650				
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Filing Date	December 29, 2000				
TOTAL AMOUNT OF PAYMENT (\$)		First Named Inventor	Peter M. DICKSTEIN				
455.00		Examiner Name	C. R. Kyle				
		Art Unit	3624				
		Attorney Docket No.	468182000100				
METHOD OF PAYMENT (check all that apply)							
<input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> None <input type="checkbox"/> Other (please identify): _____							
<input checked="" type="checkbox"/> Deposit Account Deposit Account Number: 03-1952 Deposit Account Name: Morrison & Foerster LLP							
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)							
<input checked="" type="checkbox"/> Charge fee(s) indicated below <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee							
<input checked="" type="checkbox"/> Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Credit any overpayments							
FEE CALCULATION							
1. BASIC FILING, SEARCH, AND EXAMINATION FEES							
Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	0
Design	200	100	100	50	130	65	0
Plant	200	100	300	150	160	80	0
Reissue	300	150	500	250	600	300	0
Provisional	200	100	0	0	0	0	0
2. EXCESS CLAIM FEES							Small Entity Fee (\$)
Fee Description							Fee (\$)
Each claim over 20 (including Reissues)							50
Each independent claim over 3 (including Reissues)							200
Multiple dependent claims							360
Total Claims Extra Claims Fee (\$)							Multiple Dependent Claims
21 33 0 x 25 = 0							Fee (\$)
							180
Indep. Claims Extra Claims Fee (\$)							Fee Paid (\$)
2 6 0 x 100 = 0							0
3. APPLICATION SIZE FEE							
If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(c)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).							
Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$)							
- 100 = /50 (round up to a whole number) x = 0							
4. OTHER FEE(S)							
Non-English Specification, \$130 fee (no small entity discount)							Fees Paid (\$)
							0
Other (e.g., late filing surcharge): 2251 Extension for response within first month							60.00
2801 Request for continued examination (RCE) (see 37 ...)							395.00
SUBMITTED BY							
Signature			Registration No. (Attorney/Agent)	44,865	Telephone	(415) 268-6983	
Name (Print/Type)	Katherine D. Lee (Customer No. 20872)				Date	July 11, 2005	